

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Jhi-Joung WANG

Title:

NOVEL ESTER DERIVATIVES OF BUPRENORPHINE AND THEIR PREPARATION PROCESSES, AND LONG ACTING ANALGESTIC PHARMACEUTICAL

COMPOSITIONS

Appl. No.:

10/645,557

Filing Date:

August 22, 2003

Examiner:

Not yet assigned

Art Unit:

Not yet assigned

UNDER 37 CFR §1.56

Mail Stop PATENT APPLICATION Commissioner for Patents PO Box 1450 Alexandria, Virginia 22313-1450

Sir:

The USPTO has waived the requirement under 37 CFR 1.98(a)(2)(i) to submit copies of U.S. patents and U.S. patent application publications when citing and submitting an Information Disclosure Statements in a patent application filed after June 30, 2003 and in an international application that has entered the national stage under 37 USC §371 after June 30, 2003. Accordingly, copies of these types of documents are not being supplied in connection with this application. Reference is being made to Pre-OG Notice from Office of Patent Legal Administration dated July 25, 2003, *Information Disclosure Statements May Be Filed Without Copies of U.S. Patents and Published Applications in Patent Applications filed after June 30*, 2003.

Applicant submits herewith on Form PTO 1449 a listing of the documents cited by or submitted to the U.S. PTO in parent application Serial No. 10/291,614, filed 11/12/2002. As provided in 37 CFR §1.98(d), copies of the documents are not being provided since they were previously submitted to the United States Patent & Trademark Office in the above-identified parent application. Additionally, five new references are being submitted herewith. Copies of these newly cited references are submitted herewith.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is

considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), within three (3) months of the filing date of the application.

RELEVANCE OF EACH DOCUMENT

All of the documents are in English.

Applicant respectfully requests that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

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INFORMATION DISCLOSURE CITATION					Jhi-Joung WANG				
					FILING DATE	GROUP ART UNIT			
(Use several sheets if necessary)					08/22/03	<u> </u>			
U.S. PATENT DOCUMENTS									
EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME		CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE	
	}}	3,433,791	03/18/1969	Bentley et al.				}	
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	DOCUMENT					CLASS	SUB- CLASS	TRANSLATION	
	REF NUMBER		DATE		COUNTRY			YES	NO
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		WO 96/16063	5/30/96	PCT		C07D	489/02		
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)									
Hayes et al., "Determination of Receptors that Mediate Opiate Side Effects in the Mouse", Br. J. Pharmac. (1983), 79, 731-736.									
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